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Next Generation UV Instrument Technologies Enabling Missions in Astrophysics, Cosmology and Planetary Sciences Keck Institute for Space Studies Workshop California Institute of Technology, Pasadena, CA August 29, 2011

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# Outline

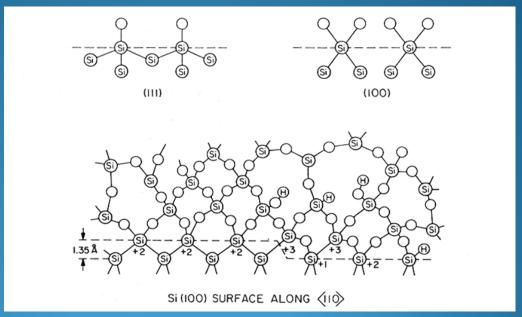
- 1. Detector surfaces and the problem of stability
- 2. Delta-doped detectors
- 3. Physics of Delta-doped Silicon
- 4. Chemistry of the Si-SiO2 Interface
- 5. Physics and Chemistry of Delta-doped Surfaces
  - Compensation
  - Inversion
  - Quantum exclusion



# Nanostructured Silicon for Surface Passivation

"The surface, being the boundary between two phases, is at the same time the boundary between two sciences: physics and chemistry."

-- F. F. Vol'kenshtein, 1967



"The present results clarify the importance of controlling interface structure on the atomic scale."

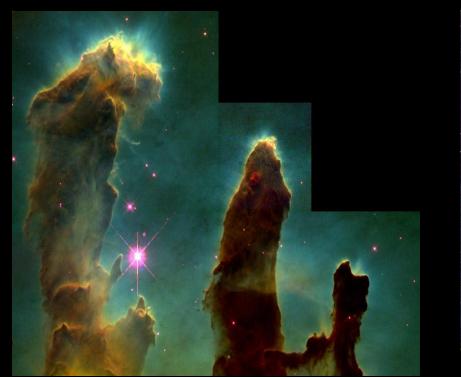


# Detector Surfaces and the Problem of Stability

### A Revolution in Imaging

"CCDs were born in the Si-SiO<sub>2</sub> revolution and created their own revolution in widespread imaging device applications."

-- George Smith, co-inventor of CCDs and Nobel Laureate



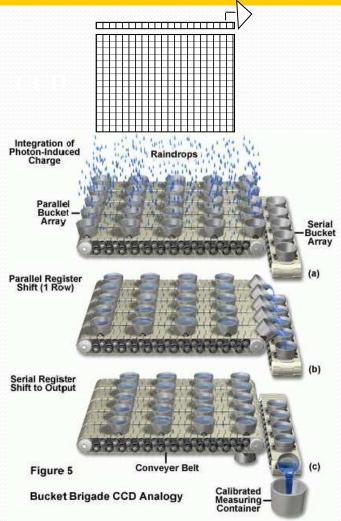


George E. Smith, "The invention and early history of the CCD," Nuclear Instruments and Methods in Physics Research A, 607: 1-6, 2009.

#### Silicon Imager Architectures: CCD vs. CMOS

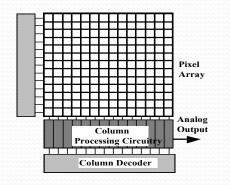
#### **Charge-coupled Device (CCD)**

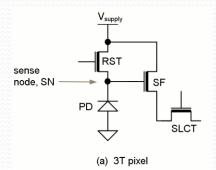
Serial readout device with charge transfer and one (or few) readout amplifiers.



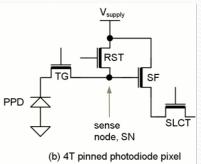
#### **CMOS Imaging Array**

Parallel readout with few charge transfers and one readout amplifier per pixel.





**CMOS APS** 

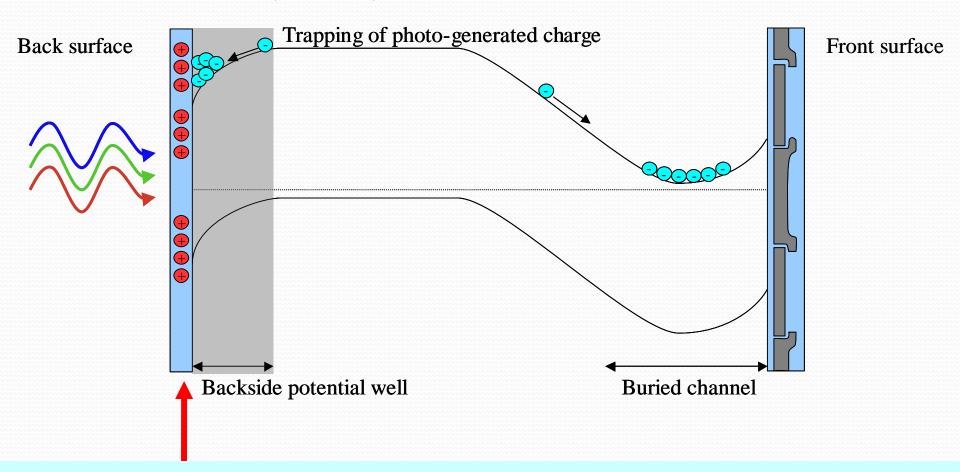


# Scientific CMOS imagers are catching up with CCDs

- Jim Janesick, 2009

#### **UV Astronomy and the Surface Problem**

In 1984, *quantum efficiency hysteresis* was discovered during thermal vacuum testing of Wide Field Camera (WFPC-1).



#### **Quantum efficiency hysteresis** – CCD response depends on prior illumination history

- Unacceptable Hubble needs stability to 1% over 30 days...
- Passivation of surface defects is necessary to solve the problem.

#### **Quantum Efficiency Hysteresis on Hubble**



Light pipe added to WF/PC instrument to expose detectors to UV from sunlight

WF/PC1 (1983-1992) Massive UV flood at 250 nm through light pipe

WF/PC2 (1983-1992) Flash gate, biased flash gate

WF/PC2 (1992-2009) Front illuminated Loral CCDs with lumogen

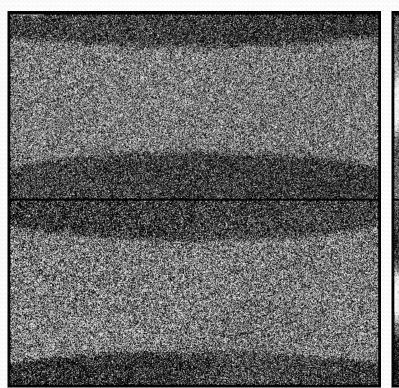
WFC3 (2009-present) Back illuminated, ion-implanted CCDs

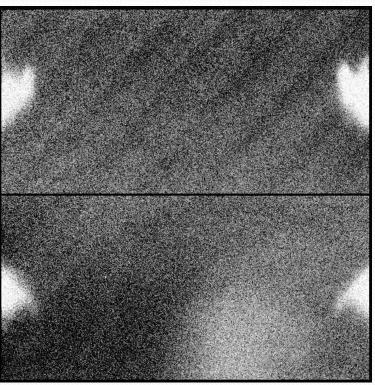
John T. Trauger, "Sensors for the Hubble Space Telescope Wide Field and Planetary Cameras (1 and 2)," in *CCDs in astronomy: Proceedings of the Conference, Tucson, AZ, Sept. 6-8, 1989 (A91-45976 19-33)*, San Francisco, CA, Astronomical Society of the Pacific, 1990, p. 217-230 8

#### The Evolution of CCD Surfaces on Hubble

- Surface doping early attempts
  - Precision thinning leaves residual p+ (early WF/PC 1)
- Chemical charging early attempts
  - UV flood (late WF/PC 1)
  - Platinum flash gate (WF/PC 2 never flown)
  - Biased flash gate (WF/PC 2 never flown)
- Phosphor coatings
  - Front-illuminated with lumogen (WF/PC 2)
- Chemisorption later evolution
  - Chemisorption (UA/ M. Lesser ACS HRC)
- Surface doping
  - Ion implantation (WFC3)

#### **Quantum Efficiency Hysteresis in WFC3**



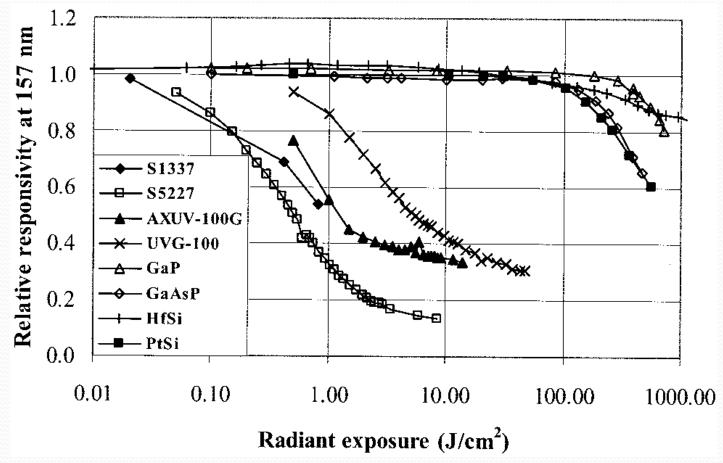


#### **Ion-implanted CCDs on Wide Field Camera 3**

- Launched in 2009
- Instabilities on the order of a few percent
- Mitigated by on-orbit flooding with visible light to fill surface traps

Collins et al. SPIE proceedings 7439A-10, San Diego, CA, August 2009.

# DUV Detectors and Surface Damage: The Problem of Stability



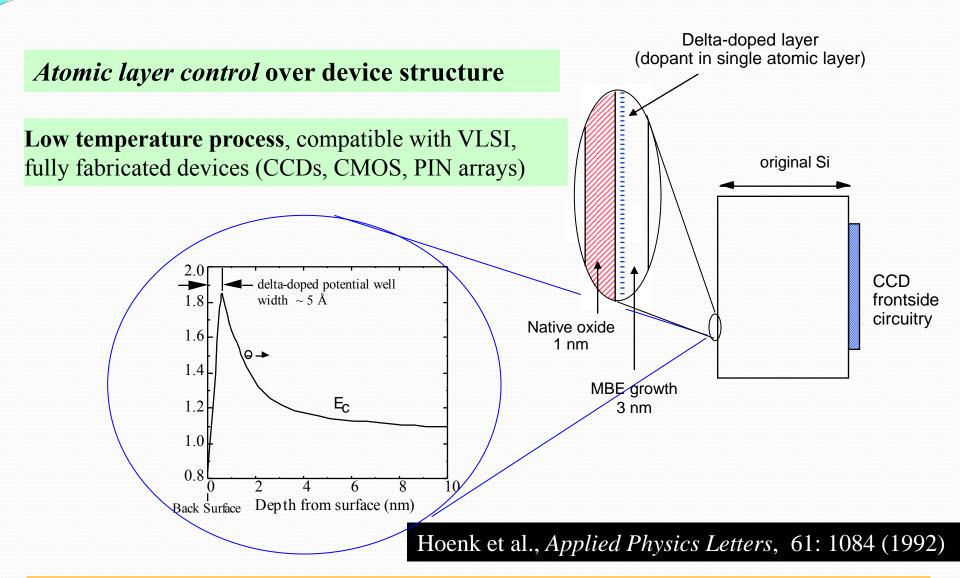
Ping-Shine Shaw, Rajeev Gupta, Keith R. Lykke, "Stability of photodiodes under irradiation with a 157-nm pulsed excimer laser," Applied Optics, 44(2): 197-207 (2005).



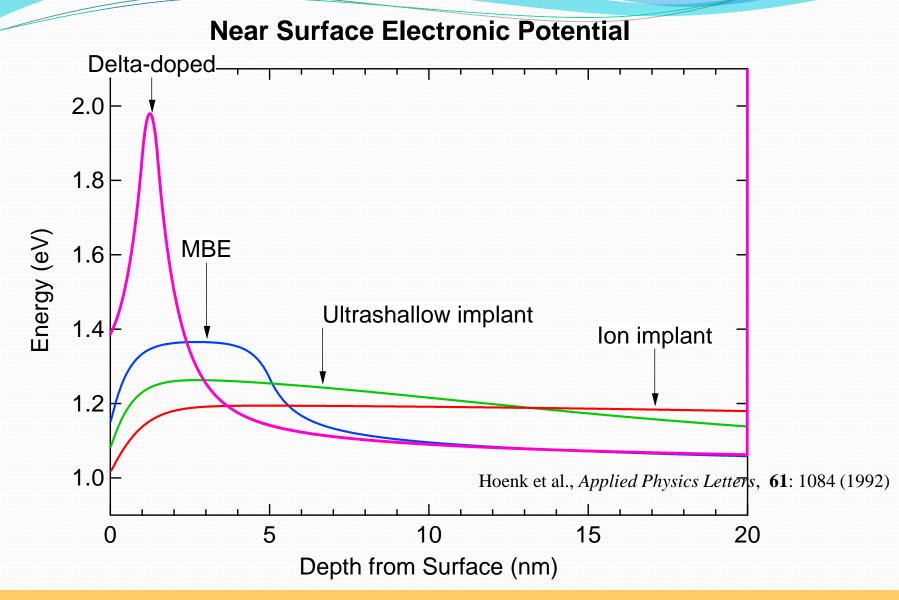
# Delta-doped detectors

Nanostructured silicon for surface passivation

## **Delta doping for Surface Passivation**

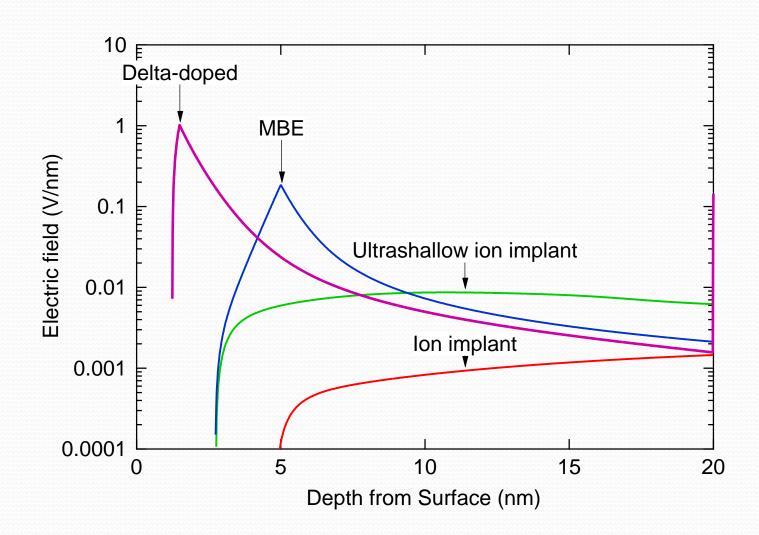


#### Delta-doping vs. Ion implantation

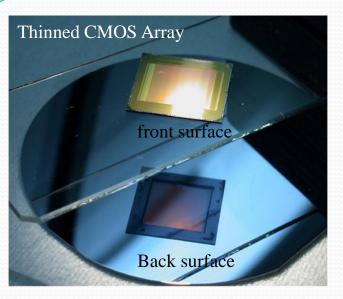


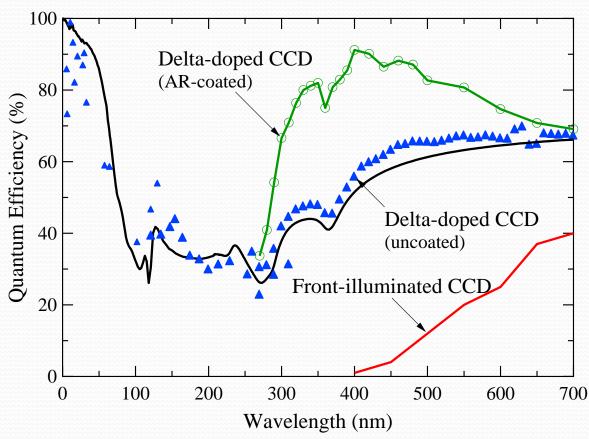
Delta doping produces highest surface electric fields of any passivation technology

# Electric Field



#### Quantum Efficiency of Delta-doped detectors

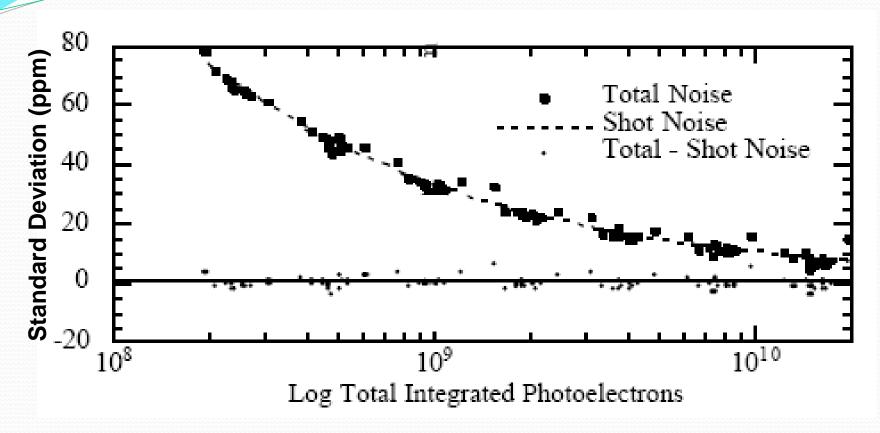




**S. Nikzad**, "Ultrastable and uniform EUV and UV detectors," *SPIE Proc.*, Vol. 4139, pp. 250-258 (2000).

J. Trauger (PI WF/PC2) – *No measurable hysteresis in delta-doped CCDs* 

#### **Photometric Stability of Delta-doped CCDs**



J. M. Jenkins, W. J. Borucki, E. W. Dunham, J. S. McDonald "High Precision Photometry with Back-Illuminated CCDs," ASP Conf Ser ,16-18 Oct. 1996 STScl

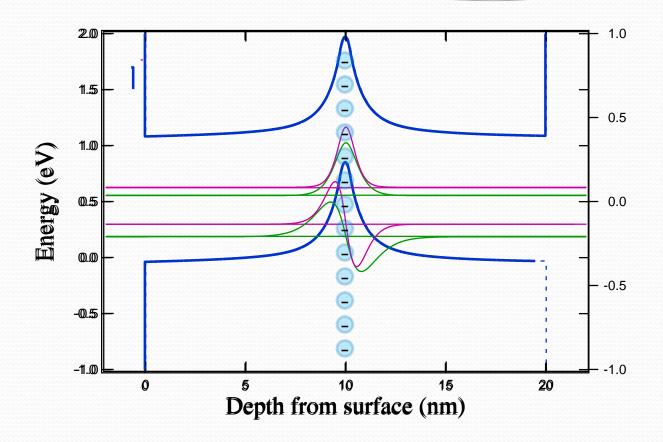
...the [delta-doped] CCD performed as a *nearly shot-limited photometer* with only a few ppm of error at an integrated flux of  $10^{10}e^{-}$ 



# The Physics of Deltadoped Silicon

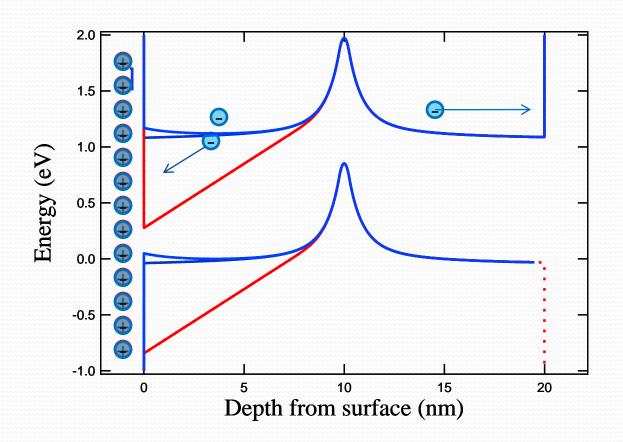
Quantization of states

# **Delta-doped Silicon**



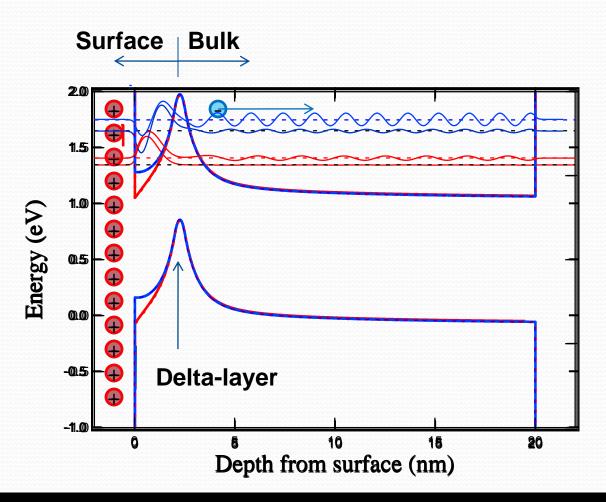
Delta-doping creates "quantum well" in silicon Majority carriers confined in quantized subbands Peak electric field ~10<sup>7</sup> V/cm

# **Delta-doping and Quasi-isolation**



#### **Quasi-isolation** → Elimination of QEH

## **Delta-doping and Quantum Exclusion**



#### Quantum Exclusion -> Elimination of trapping

Positively charged surface @ 10<sup>13</sup> cm<sup>-2</sup>

#### The photometric stability of Delta-doped detectors

#### Proven performance

- Lyman –alpha flood: No hysteresis! (John Trauger, PI WF/PC 2)
- Shot-noise limited photometry (Jensen *et al.*, Kepler group)

#### Quasi-isolation

- Extroardinarily high fields: 10<sup>7</sup> V/cm
- Internal fields decoupled from surface charge

#### Quantum exclusion

- **Signal (QE):** trapping of minority carriers suppressed by quantum confinement
- Noise: Surface dark current suppressed by delta-layer as tunnel barrier



# Chemistry of the Si-SiO<sub>2</sub> interface

# The SiO<sub>x</sub> Boundary Layer

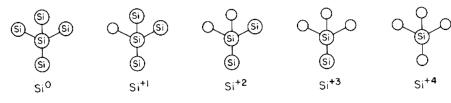
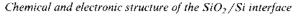


Fig. 35. Illustration of the five possible formal oxidation states for Si.

- Interface is abrupt to 1-2 monolayers
- Local chemistry is difficult to resolve
- Structure is process dependent, including cleaning processes and contaminants
- Strained SiO<sub>2</sub> near the interface is vulnerable to radiation damage
- Radiation breaks Si-O bonds; mobile defects migrate to surface creating amphoteric traps.
- Trapping of holes in near-interfacial region creates fixed positive charge at the interface.



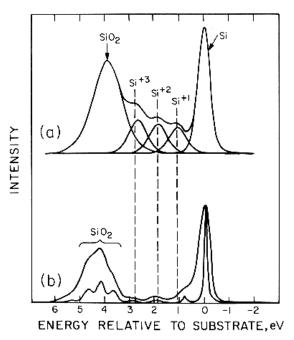


Fig. 36. (a) Si 2p spectrum obtained by Hollinger and Himpsel [144], using synchrotron radiation. The spectral components have been determined by least-squares analysis. The dashed line indicates the position of the Si suboxide states. (b) Mathematical resolution enhancement (bottom curve) of a Si 2p spectrum of thermal SiO<sub>2</sub> grown on Si obtained with Al Kα radiation [62].

#### F.J. Grunthaner and P.J. Grunthaner,

"Chemical and Electronic Structure of the SiO<sub>2</sub>/Si Interface," Materials Science Reports,

**1** (2, 3): 65-160, 1986.

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# Si-SiO<sub>2</sub> Interface Geometry

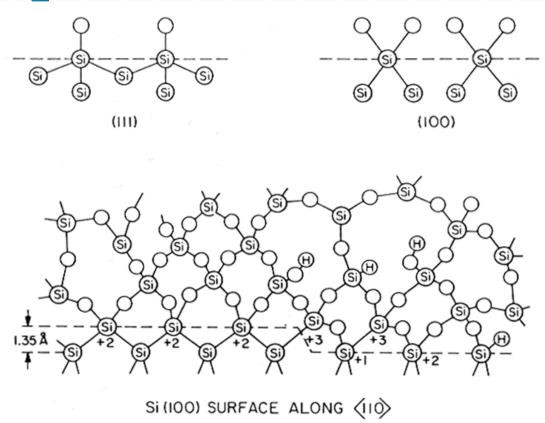


Fig. 41. Idealized diagram of local atomic interface geometry. The upper panel illustrates the suboxide states expected for ideal (111) and (100) surfaces. The lower panel illustrates the inclusion of atomic steps and impurities.

# Hydrogen density at the Si-SiO2 Interface

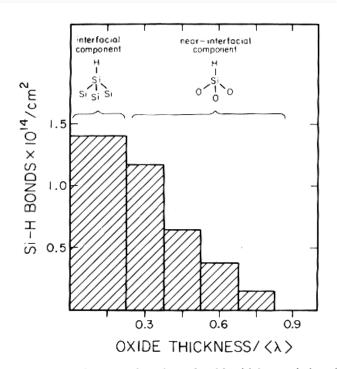


Fig. 58. Histogram of hydrogen concentration as a function of oxide thickness deduced from XPS measurements as discussed in the text.

- XPS data can't differentiate between hydrogen and dangling bonds;
- Interface densities inferred from XPS measurements are on the order of  $n_{2D} \sim 1-2\times10^{14} cm^{-2}$

# Surface States and Hydrogen

#### Surface states

- P<sub>b</sub> centers (Dangling bonds)
  - Estimated density of Si-H at the surface:  $n_{2D} \sim 1-2 \times 10^{14}$  cm<sup>-2</sup>
  - Hole trapping can break Si-H bond, and liberate atomic hydrogen from the surface
- E' centers (Oxygen vacancies in SiO2)
  - E' center is an electron trap at site of oxygen vacancy
    - Dates to 1950's
    - More than 15 varieties
    - Spectroscopies place defect level at 5.7 5.9 eV (~210 nm)
  - Defect is intrinsic to oxygen-deficient silica (not impurity related)
  - Concentrated near Si-SiO2 interface because SiOx is oxygen-deficient.
  - E' can catalyze breakup of H2
  - Hole trapping can convert E' precursor into E' defect and atomic hydrogen, which can in turn react with bridging oxygen to form fixed positive charge at the Si-SiO2 interface.

# Hole traps at Si-SiO2 Interface

- Hydrogen passivated oxides
  - Hydrogen ties up dangling bonds and eliminates traps
  - Oxide is vulnerable to hot carrier degradation— Especially hole trapping!
    - Hole + O<sub>3</sub>≡SiH → O<sub>3</sub>≡Si· + H<sup>+</sup>
    - Hole + Si3≡SiH → Si3≡Si· + H+
- Trapped holes and fixed oxide charge
  - Density of hole traps at Si-SiO2 interface is sufficient for compensation of a near-surface delta-doped layer.



# The Physics and Chemistry of Delta-doped Surfaces

## Delta-layer compensation by surface

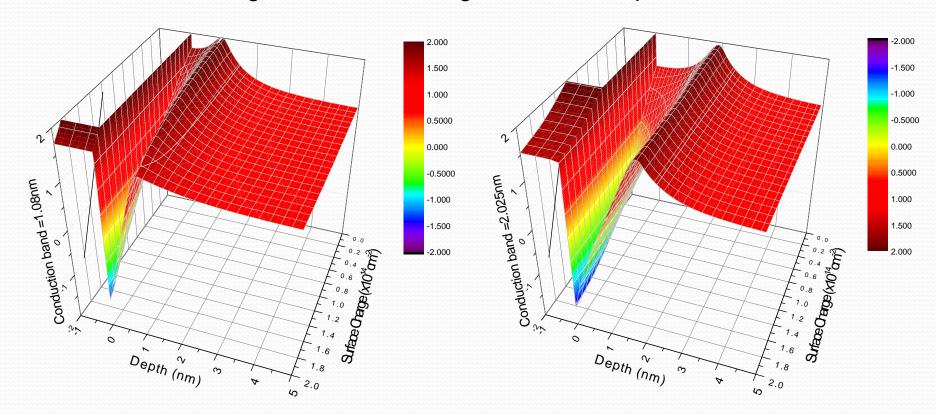
MBE Surface Passivation	Structure	Sheet number (x 10 <sup>14</sup> cm <sup>-2</sup> )
Delta doping	Shallow (15 A cap layer)	0.05
	Intermediate (25A cap layer)	-0.1 (inversion)
	Deep delta-layer (150A cap layer)	1.2

#### Hall measurements of sheet number

- Comparison of deep and shallow delta-layers demonstrates compensation
- Intermediate cap layer exhibits inversion.

# Surface Charge and Quasi-isolation

Conduction Band Edge vs. Surface Charge – Bulk is independent of Surface

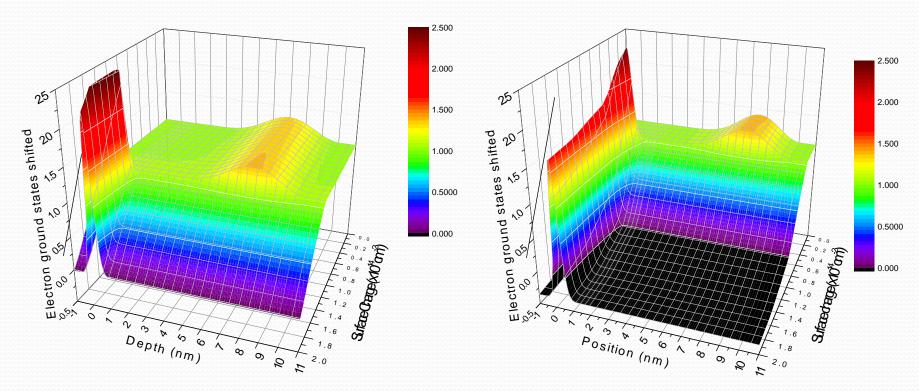


Delta layer depth = 1nm

Delta layer depth = 2nm

# Tamm-Shockley States

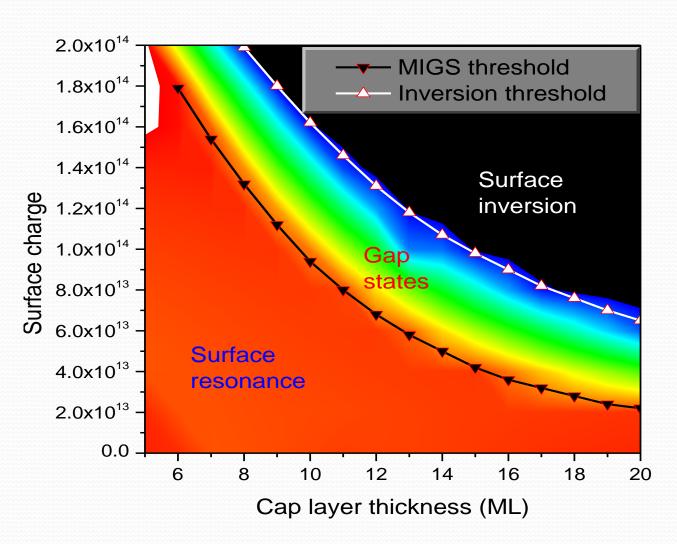
Electron ground states: T-S surface state formation vs. Delta-layer depth

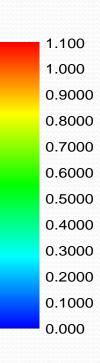


Delta layer depth = 1nm

Delta layer depth = 2nm

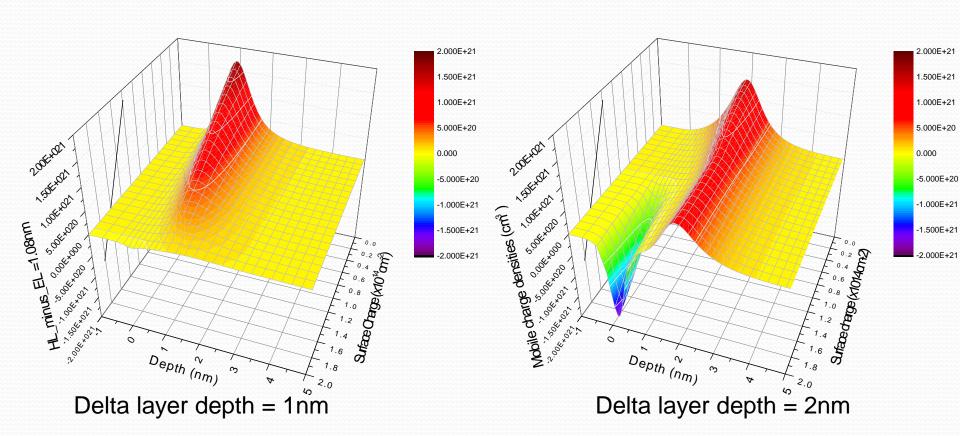
# Surface Passivation by Quantum Exclusion





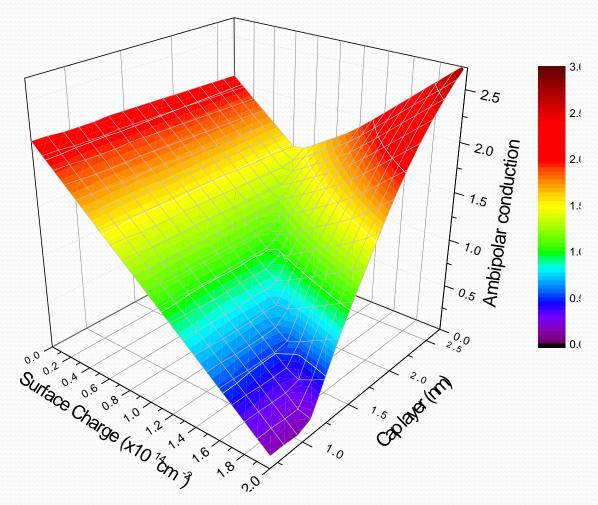
# Compensation and Inversion

Distribution of Holes and Electrons vs. Surface charge



# **Ambipolar Conduction**

Integrated sheet densities, holes + electrons



# Si-SiO2 Interface

- Compensation of delta-doped surface
  - High quality oxides:  $n_{2D} \sim 10^{11} \, \text{cm}^{-2}$
  - Native oxide:  $n_{2D} \sim 10^{12} 10^{13} \text{ cm}^{-2}$
  - Delta-doped surface:  $n_{2D} \sim 1-2 \times 10^{14} \text{ cm}^{-2}$
- Hydrogen density at Si-SiO<sub>2</sub> interface
  - Silicon atoms on (100) surface:  $n_{2D} \sim 7 \times 10^{14} \text{ cm}^{-2}$
  - Silicon dangling bonds:  $n_{2D} \sim 1.4 \times 10^{15} \text{ cm}^{-2}$
  - Hydrogen density, oxidized surface:  $n_{2D} \sim 1-2 \times 10^{14} \text{ cm}^{-2}$



# Conclusions

- Quantum confinement of electrons and holes dominates the behavior of delta-doped surfaces
- Stability of delta-doped detectors: Delta-layer creates a
   ~1 eV tunnel barrier between bulk and surface
- At high surface charge densities, Tamm-Shockley states form at the surface
- 4. Surface passivation by quantum exclusion: Nearsurface delta-layer suppresses T-S trapping of minority carriers
- 5. The Si-SiO2 interface compensates the surface
- 6. For delta-layers at intermediate depth, surface inversion layer forms
- 7. Density of Si-SiO2 interface charge can be extremely high (>10<sup>14</sup> cm<sup>-2</sup>)